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BOX PCT

IN THE UNITED STATES ELECTED OFFICE
OF THE UNITED STATES PATENT AND TRADEMARK OFFICE
UNDER THE PATENT COOPERATION TREATY-CHAPTER II

5 APPLICANT(S): Gerhard Niedermair et al DOCKET NO: P99,0100
SERIAL NO: GROUP ART UNIT:
EXAMINER:

INTERNATIONAL APPLICATION NO: PCT/DE97/01920

INTERNATIONAL FILING DATE: 02 September 1997

10 INVENTION: **SPEECH PROCESSING SYSTEM AND METHOD**

Assistant Commissioner for Patents,
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

According to 37 C.F.R. 1.97(b)

15 Sir:

In accordance with the provisions of 37 C.F.R. 1.56 and the requirements of 37 C.F.R. 1.98, Applicant respectfully requests that a citation and examination of the references identified on the attached PTO 1449 form be made during the course of examination of the above-identified application for United States Patent.

20

The present Information Disclosure Statement is being filed according to 37 C.F.R. 1.97(b) and before the latter occurrence of:

(1) three months from the filing date of a national application;

(2) three months from the date of entry of the national stage

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as set forth in 37 C.F.R. 1.491 in an international application; or

(3) the mailing date of a first Office Action on the merits.

REMARKS

The attached PTO 1449 form lists prior art references identified in the International Search Report, copy of which is enclosed herewith.

5 European reference EP 0 730 261 A2 dated 04 September 1996;
International reference WO 96/18260 dated 13 June 1996;
European reference EP 0 607 615 A1 dated 27 July 1994;
German reference DE 44 12 309 A1 dated 12 October 1995;
Great Britain reference GB 2 119 208 dated 09 November 1993;

10 Article:

Database Inspec Institute of Electrical Engineers, Inspec No. 2578705, Bergeron, L.E. et al, "Voice applications for the office using digital signal processing", XP-002051225, 1 sheet.

Cited in the specification:

15 G. Ruske, "Automatische Spracherkennung, Oldenbourg-Verlag 1, Auflage, ISBN 3-486-20877-2, Muenchen, Germany, (1988), pp. 106-171; and

A. Zell, "Simulation neuronaler Netze, Edison Wesley, 1, Auflage, ISBN 3-89319-554-8, (1994), pp. 137-143.

20 The documents may have markings thereon, however, no significance is meant to be attached to the markings.

The filing of the present Information Disclosure Statement is not to be construed as a representation that a search has been made, and is not to be construed as an admission that the information cited in the present
25 Information Disclosure Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. 1.56(b).

The above citation of prior art is not a representation that such art constitutes a complete or exhaustive listing of all pertinent prior art, nor that it necessarily includes the closest or most relevant art. The aforementioned citation comprises a voluntary citation of prior art of which applicant and his attorney are presently aware and is not intended to serve as a substitute for the Examiner's own search.

Respectfully submitted,



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Form P.T.O. 1449		U.S. Department of Commerce Patent and Trademark Office			Docket No. P99,0100		Serial No. 09/25424 <small>New Application</small>	
LIST OF PRIOR ART CITED BY APPLICANT (use several sheets if necessary)					Applicant(s) Gerhard Niedermair et al			
					Filing Date Herewith		Group Art Unit	
U.S. PATENT DOCUMENTS								
Examiner's Initials		Document Number	Date	Name	Class	Subclass	Filing Date If appropriate	
	AA							
	AB							
	AC							
	AD							
	AE							
	AF							
FOREIGN PATENT DOCUMENTS								
		Document Number	Date	Country	Class	Subclass	Translation	
							Yes	No
	AG	EP 0 730 261 A2 /	04/09/96	Europe				
	AH	WO 96/18260 ,	13/06/96	PCT				
	AI	EP 0 607 615 A1	27/07/94	Europe				
	AJ	DE 44 12 309 A1 ,	12/10/95	Germany				
	AK	GB 2 119 208 ,	09/11/93	Great Britain				
	AL							
OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)								
	AM	Database Inspec Institute of Electrical Engineers, Inspec No. 2578705, Bergeron, L.E. et al, "Voice applications for the office using digital signal processing", XP-002051225, 1 sheet						
	AN	G. Ruske, "Automatische Spracherkennung, Oldenbourg-Verlag 1, Auflage, ISBN 3-486-20877-2, Muenchen, Germany, (1988), pp. 106-171						
	AO	A. Zell, "Simulation neuronaler Netze, Edison Wesley, 1, Auflage, ISBN 3-89319-554-8, (1994), pp. 137-143						
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	AV							
	AW							
Examiner				Date Considered				
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.								